

Financial Integrity Training and Certification Services



In recent years, financial crimes and illicit financing risks have expanded in scope, complexity, and importance – including across money laundering, terrorist financing, proliferation financing, sanctions evasion, and corruption. These risks are increasingly threatening the integrity and security of the international financial system.

It's more important than ever for financial institutions, vulnerable industries, and jurisdictional authorities around the world to adopt or reinvigorate training programs in order to meet elevated compliance and risk management expectations, protect their reputations, and safeguard our collective security.

K2 Integrity provides premier training and certification programs and training advisory services across the full spectrum of financial crimes and illicit financing risks. Our offerings equip clients with the expertise, skills, and judgment they need to address these evolving risks on a global scale.

OUR APPROACH

Designed by experts and framers of the modern global counter-illicit finance regime, our programs take a transformative approach that enables participants to:

- Acquire technical substantive knowledge and foundational information – including through case studies, lecture-based discussions, expertly curated reading modules, instructional videos, and interactive online resources.
- Apply our unique and proven analytic frameworks and rigorous methodologies in scenario-based, real-world exercises.
- Leverage technology for 24/7 access to dynamic and continually updated training content through our proprietary eLearning platform – the Dedicated Online Financial Integrity Network (DOLFIN).
- Become part of a network of financial integrity professionals for additional news, analysis, resources, and community networking on financial integrity and security issues.

BENEFITS FROM OUR TRAINING AND CERTIFICATION SERVICES INCLUDE:

Individuals:

- Become more accurate and efficient in identifying and managing financial crime and illicit financing risks
- Increase promotion potential and expand opportunities for additional professional responsibilities
- Better protect your reputation and your company's reputation

Enterprises:

- Create more effective workflows for addressing financial crime risks
- Strengthen enterprise-wide confidence in compliance professionals to manage these risks in alignment with the firm's risk appetite
- Increase professional satisfaction among training participants, thereby contributing to employee retention

Jurisdictional Authorities:

- Understand the exposure of jurisdictions, regulated entities, and institutions to financial crime and illicit financing risks from global, regional, and domestic sources
- Align counter-illicit financing global standards with jurisdictional regulatory frameworks
- Manage risks and exposure from programmatic and case-specific perspectives

OUR SERVICE OFFERINGS INCLUDE:

CERTIFICATION PROGRAMS

K2 Integrity's certification programs test and certify those candidates who demonstrate the ability to identify, assess, and manage financial crimes and illicit financing risks. The required examinations – administered on our DOLFIN platform – test technical knowledge and incorporate real-world, scenario-based questions to assess analytic skills. *With evergreen content that is continually updated on DOLFIN, professionals certified through K2 Integrity can meet evolving risks, risk management expectations, and regulatory requirements with confidence.*

Service Highlights:

- **Certified Risk Management Specialist (CRMS) programs, including:**
 - CRMS – Global Sanctions
 - CRMS – Russia Sanctions
- **Bespoke certification programs for enterprises and jurisdictions**

Our certification programs have three training options to prepare candidates for the required examinations:

TRAINING OPTIONS

Self-Guided Learning

- Learn at your own pace! Undertake the program on our DOLFIN platform when it works best for you and at a pace that fits your learning style.

Part-Time, Instructor-Led Training

- Six to 15 hours of live, instructor-led training – delivered virtually or in person – in defined sessions over a specific time period.
- Supplemented with self-guided learning that candidates complete on their own time.

Full-Time, Instructor-Led Training

- 30+ hours of live, instructor-led training delivered virtually or in person – typically over five days in one business week.

BESPOKE, INSTRUCTOR-LED TRAINING COURSES

Our bespoke, instructor-led training courses enhance the subject matter expertise, analytic skills, and confidence of our clients to assess and address financial crimes and illicit financing risks.

Service Highlights:

Courses can be developed across a variety of issue areas to meet the specific needs of clients, including across:

- **Risk domains**, such as sanctions, proliferation financing, terrorism financing, money laundering, and corruption
- **Financial products, services, and operations**, including payment systems, virtual and digital assets, including virtual currencies, correspondent banking, wire transfers, and trade finance
- **Global standards** (e.g., UN, FATF), **jurisdictional regulatory frameworks** (e.g., U.S., EU, UK), and **institutional policies & procedures for financial institutions and vulnerable corporates**
- **Risk mitigation strategies for specific sectors and audiences:**
 - *Private sector*, including risk and compliance officers, boards of directors and senior executives, and first-line and operations employees
 - *Public sector*, such as regulators, policymakers, prosecutors and judges, and intelligence, law enforcement, and security professionals
 - *Non-profit sector*, including charities

Options:

- Delivered either in person or virtually
- Can range from two hours to five days or beyond
- May be designed with or without an examination

ON-DEMAND TRAINING

In addition to certifications that are available on-demand on the DOLFIN platform, K2 Integrity also offers additional on-demand learning and continuing education options — all at the user's fingertips.

Service Highlights:

- **Foundational Courses.** Available in Arabic, English, Mandarin, and Spanish, these courses — which include Foundations of Anti-Money Laundering and Foundations of U.S. Sanctions — offer easy solutions to an institution's regulatory training requirements and integrate with most learning management systems.
- **E-Learning Plans (ELPs).** Our ELPs provide pathway learning, knowledge checks, and completion certificates that align with specific roles and risk domains. Choose from our ELP catalogue or design customized ELPs to meet your institution's needs.
- **Instructional Videos.** K2 Integrity experts discuss basic and advanced financial integrity issues, including money laundering; trade financing and correspondent banking risks; non-bank payments; FATF blacklisting; Section 311 of the USA Patriot Act; and secondary sanctions.
- **Continuing Education Hub.** Our webinars, podcasts, policy alerts, and other publications provide up-to-date analysis and insights from K2 Integrity experts and partners.
- **Supervisor Portal.** Empowers managers to create and assign tailored training and continuing education to staff; access a dashboard to track progress and test results; and view and export reporting for senior management and regulators.



TRAINING ADVISORY

K2 Integrity's Training Advisory services help clients to understand the particular financial crimes and illicit financing risks they confront and to identify comprehensive training requirements that will enable them to address these risks across an ever-evolving landscape:

- **For financial institutions and other corporates:** We design enterprise-wide training programs that address risk topics for employees at all levels of the institution, helping to build a stronger culture of compliance and sustainable workforce.
- **For jurisdictions:** We design training strategies and programs that will strengthen the ability of all stakeholders to help create and drive a comprehensive jurisdictional counter-illicit finance regime – including across public sector authorities, private sector entities, and non-profit and charitable organizations.

Service Highlights:

- Skills Assessments
- Training Needs Assessments
- Training Strategy Development

CUSTOMIZATION AND SPECIALIZATION UPGRADES

K2 Integrity can deliver customized training programs for both the part-time and full-time instructor-led options, including for certifications. We can also add specialized content to the existing curriculum of our offerings to help clients develop a specific skillset.

OUR EXPERTS

Our world class team consists of former financial regulators and senior government officials, including the experts who helped design and spearhead the global counter-illicit financing regime after 9/11. Our subject matter experts have held integral leadership roles at global and regional organizations and jurisdictions, including the Financial Action Task Force (FATF) and the Egmont Group of Financial Intelligence Units, or FIUs.