

Latin American Practice

K2Integrity is the premier risk advisory firm. Clients rely on our interdisciplinary teams that are supported by cutting-edge technology to safeguard their operations, reputations, and economic security.

Our LatAm practice working group manages the firm's relationships with clients in the region.

Addressing Client Needs in the Latin America Region

From strategy to execution, we work with our clients to deliver customized end-to-end solutions. Together, our seasoned subject matter experts have unparalleled deep industry experience and work with our clients in lockstep throughout each engagement. Our team comprehends the challenges and opportunities of the region.

We also understand the complex issues our clients face because our expert leadership consists of former senior government advisors, regulators, compliance officers, lawyers, academics, accountants, investigators, and consultants. K2's experts comprise former public and private officials with deep experience assessing clients and mitigating risks in Latin America and the Caribbean.

Areas of Expertise

- ▶ Financial Crimes Risk Management
- ▶ Investigations & Monitoring
- ▶ Education and Training
- ▶ Tech Enablement
- ▶ Cyber Security
- ▶ Virtual Asset Advisory Services
- ▶ Jurisdictional Services

Our Clients

- ▶ Public and Private Companies
- ▶ Hedge Funds & Private Equity Firms
- ▶ Financial Institutions
- ▶ Governments and Jurisdictions
- ▶ Law Firms
- ▶ High-Net-Worth Individuals
- ▶ Fortune 500 Corporations
- ▶ FinTech Companies and VASPS



K2 Integrity's LATAM Footprint:

- ▶ Mexico
- ▶ Brazil
- ▶ Peru
- ▶ Colombia
- ▶ Venezuela
- ▶ Argentina
- ▶ Paraguay
- ▶ Panama
- ▶ Guyana
- ▶ Suriname
- ▶ Chile
- ▶ El Salvador
- ▶ Guatemala
- ▶ Costa Rica
- ▶ Dominican Republic

We are trusted partners in times of extreme sensitivity.
Others may step back. We step in.

Core Practice Areas

Investigations & Disputes

Through intelligence and investigative expertise, we help to reduce risk and turn unfavorable situations around by providing insight and decision-making tools. We are often called upon for guidance by clients needing information for strategic purposes.

We handle difficult propositions and complex international assignments including the LatAm region. We have expertise in the analysis of internal data, documents, and communications, as well as in the gathering of external information to assist in decision making. Our clients come to us for:

- Anti-Bribery and Corruption (ABC) Investigations
- Construction and Real Estate Risk Management
- Litigation Support
- Due Diligence
- Corporate Contests
- Financial Investigations and Forensic Accounting
- Fraud Investigations
- Internal Investigations
- Supply Chain Risk
- Data Analytics

Financial Crimes Compliance

Our Regulatory, Financial Crimes Risk Management, and Anti-Money Laundering (AML)/Combating the Financing of Terrorism (CFT) professionals have assisted our clients with complying with country specific regulatory requirements across 100 countries including most of the countries in Latin America. Financial institutions are continuously grappling with the ever-changing regulatory environment including complying with US Patriot Act, Bank Secrecy Act (BSA), AML Law, Financial Crimes Enforcement Network (FinCEN), and Office of Foreign Assets Control (OFAC) regulations. Our services are designed to be both proactive in complying with these laws and regulations and reactive when there has been an occurrence. Services include:

- Anti-Money Laundering/Combating the Financing of Terrorism (AML/CFT), Sanctions, and Fraud Compliance Programs
- Enterprise-Wide Financial Crime Risk Assessments
- Managed Services
- Regulator Mandated Monitorships and Independent Consultancies
- FinTech and Virtual Assets Advisory
- Transaction Monitoring Advisory Services
- Know Your Customer (KYC) Due Diligence
- Model Validation, System Tuning, and Testing
- Cyber Risk Management
- Training and Certifications

Global Reach

Operating in over **100** countries | Fluency in over **40** languages

Crypto and Digital Asset Solutions

We contribute to keeping the financial system safe, as we support the growth and adoption of virtual assets globally and particularly in Latin America. Whether working for a jurisdiction, a financial institution, or a virtual asset service provider, we help our clients identify, evaluate, understand, and effectively manage the financial crimes risks arising from the virtual assets they are dealing with. Our services include:

- Licensing and registration support with regulatory authorities
- Conducting financial crimes risk assessments and gap analysis exercises
- Providing ongoing compliance support through managed services
 - ▶ KYC, program governance, auditing, and examination remediation support
 - ▶ Financial Intelligence Function; including alert management and SAR filing support
- Designing and enhancing financial crimes compliance programs
- Designing of transaction monitoring and surveillance programs
- Identifying and authenticating digital identities
- Evaluating cybersecurity posture and reviewing cybersecurity intrusion incidents
- Learning, testing, and certification programs

Jurisdictional Services

K2 Integrity's leaders have played an instrumental role in developing, implementing, and assessing compliance with the Financial Action Task Force (FATF) global standards, and have exercised leadership roles at the FATF, the Egmont Group of FIUs and other global and regional organizations. We are uniquely positioned to advise and assist jurisdictional clients further develop and effectively implement their AML/CFT regimes because our subject matter experts helped design and spearhead the post-9/11 AML/CFT regime in the United States and globally. Services include:

- Strengthening AML/CFT regimes
- FATF Mutual Evaluation Preparation and Remediation
- AML/CFT Inter-agency Governance
- Risk Assessments and Strategic Alignments of Existing Regulatory Frameworks
- Strategic Advisory Services to Jurisdictions
- Virtual Asset Risk Assessments
- Financial Intelligence Unit Advisory Services

Multidisciplinary, Multinational Teams

With teams of professionals drawn from a variety of disciplines and expertise in central locations across the globe, we are able to dig deep into every engagement. Our best-in-class professionals—with multidisciplinary expertise in law, accounting, regulatory and compliance enforcement, national security, cyber defense, and corporate investigations—have unmatched experience in solving complex problems for private and public sector institutions and are all fluent in Spanish. Our belief in this approach enables us to engineer multifaceted solutions that always put our clients' needs first. Our belief in this approach enables us to engineer multifaceted solutions that always put our clients' needs first.



Juan Zarate
Global Co-Managing
Partner and Chief
Strategy Officer
Washington DC
jzarate@k2integrity.com



Mariano Federici
Senior Managing Director
Washington DC
mfederici@k2integrity.com



Elias Alfile
Associate Managing
Director
Washington DC
ealfile@k2integrity.com



Lucy Gonzales
Senior Director
New York
lgonzales@k2integrity.com



Naomi Peña
Director
New York
npena@k2integrity.com



Martin Santos
Special Advisor
msantos@k2integrity.com