



K2 Integrity

**Internal
Audit**

✦ Managing Risks and Compliance

In today's complex and ever-changing regulatory environment, organizations face numerous challenges in managing risks, ensuring compliance, and improving operational efficiency. The need for robust internal audit functions has never been greater as businesses strive to navigate these challenges while maintaining their competitive edge. Key concerns include staying ahead of regulatory changes, managing financial and operational risks, and safeguarding the IT systems and their effectiveness. Organizations must also contend with the increasing demand for transparency and accountability from stakeholders, board, investors, and regulators alike.

Who We Serve

- ▶ Financial Institutions
- ▶ Asset and Investment Managers
- ▶ FinTechs and Virtual Asset Businesses
- ▶ Fortune 500 Corporations
- ▶ Insurance, Healthcare, and Pharmaceutical Companies
- ▶ Government Entities
- ▶ Construction and Real Estate

✦ Our Approach

Our approach to internal audit is designed to address these challenges head-on. We provide comprehensive, high-quality audit services that help organizations manage risks, achieve compliance, and improve operational efficiency. We leverage our deep industry knowledge and expertise to provide practical and relevant insights and recommendations by delivering tailored solutions that meet each client's unique needs.

Our team of seasoned professionals brings extensive experience across various industries, including banking, broker-dealers, investment advisors, insurance, and technology. This diverse expertise allows us to offer valuable benchmarking services that provide clients with market insights and help them stay ahead of industry trends. Our deep understanding of regulatory requirements and strong relationships with regulators enable us to help clients navigate complex regulatory landscapes and communicate effectively with regulatory bodies.

We recognize that each client is unique and tailor our services to meet their needs. Whether it is a large bank, a smaller broker-dealer, or a private firm, we customize our approach to be fit for purpose and aligned with the client's objectives. We prioritize building strong, long-term relationships with our clients, with senior professionals actively involved in every engagement to provide high-quality service and personalized attention.

K2 Integrity transforms internal audit into a proactive force—identifying risks, strengthening controls, and promoting compliance with expert-driven strategies that enhance governance and resilience.

Services

We help clients navigate the complexities of modern risk environments by transforming internal audit into a strategic asset. Our approach integrates deep regulatory knowledge, forensic expertise, and industry insight to proactively address emerging risks. We tailor solutions to strengthen controls, achieve compliance, and build resilience against financial, operational, and cybersecurity threats. With K2 Integrity, organizations gain the expertise to anticipate challenges, optimize internal audit functions, and safeguard long-term success in an ever-evolving landscape.

Internal Audit Outsourcing and Co-sourcing

IT/Cyber Internal Audit and SOX Advisory

Internal Audit Professional Practices, Annual and Strategic Planning, Risk Assessment, and Board Reporting

SOX Fraud Risk Assessment and Remediation

External Quality Assessments (EQAs) and Quality Assurance Improvement Program (QAIP) Services

Operational Risk Assessments and Audits

Independent BSA/KYC/AML Compliance Audits

Design and Implementation of “Fit for Purpose” Transformation and Process Optimization Roadmap

AI Governance Frameworks

Corporate Governance

SOX Compliance, Internal Controls, and IPO and M&A Readiness

Point-in-Time and Ongoing Strategic Advisory Services

Our Philosophy

At K2 Integrity, we understand that critical moments define an organization’s success. We strive to build financial and institutional integrity, reduce risk, and uncover truth. Our commitment to superior intelligence, expert analysis, and insightful solutions helps our clients navigate complexity and achieve better outcomes.

That is why our clients partner with K2 Integrity: to thrive in an ever-changing world filled with questions and risk.

Key Contacts

NEW YORK

Jennifer Law

Global Chief Operating Officer
jlaw@k2integrity.com

Yelena Talmazan

Senior Managing Director
ytalmazan@k2integrity.com



For more information, please visit

k2integrity.com/internal-audit

Abu Dhabi

Chicago

Doha

Geneva

London

Los Angeles

Miami

New York

Riyadh

Washington D.C.